

## Analysis of RC4 Algorithm Based on Its Single and Double Byte Bias by Using New Algorithms

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**Abstract.** RC4 algorithm is one of the most widely used stream ciphers. It is fast, simple and suitable for software and hardware. It is used in many applications, but it has a weakness in the distribution of key stream bytes, the first few key stream bytes of PRNG are biased or related to some secret key bytes and thus the analysis of key stream bytes makes it possible to attack RC4, and there is a correlation between the key stream bytes that make it weak and breakable by single and double byte biases attack. This work shows the analysis of RC4 key stream based on its single and double byte biases by using new designed algorithms that calculate the bias in a standard time (seconds). Also, shows the single byte bias attack on RC4 by using the newly designed algorithm. The results are showed that the bias of RC4 keystream is proved and got the same results that were shown in the literature with less time and could retrieve the first 32 bytes of the plain text by using the proposed algorithm of single byte bias attack with a probability of 100%. The analysis of 256 positions is required additional requirements such as supercomputer and message passing interface environment that are not available in Iraq, therefore; the analysis is done for 32 positions.

**Keywords:** RC4, KSA (Key Scheduling Algorithm), PRGA (Pseudo-Random Generation Algorithm), Single Bias, Double Bias.

### 1 INTRODUCTION

Information security means a process that an organization protects and secures its systems [1]. Information can be protected by encrypting it by using one of encryption algorithms. Many factors are needed to take into accounts such as security, the characteristics of the algorithm, time complexity, and space complexity. The main objective of the cryptography is not only used to provide privacy but also to provide solutions to other problems such as integrity, authentication, non-repudiation, and availability [2]. There are many encryption algorithms that are widely used in wired networks. In symmetric encryption, when the key size is small, it must be very efficient and encryption time can be quicker. Various encryption ways that used in wireless devices are based on symmetric encryption, such as RC4 algorithm [3]. RC4 is an effective stream cipher and it is more popular. It is one of important encryption algorithms. It's designed by Ron Rivest in 1987 and it is called "Ron's Code 4". It's based on the use of random permutation [4] and was kept confidential in a trade until 1994. It is used in commercial software packages such as MS Office, Oracle Secure SQL, and used in network protocols such as IP Sec, Wired Equivalent Privacy (WEP) Protocol [5] and used to protect wireless networks as part of Wi-Fi Protected Access (WPA) protocols and to protect the

internet traffic as part of Secure Socket Layer (SSL) protocol and Transport Layer Security (TLS) protocol [6]. RC4 was analyzed by different people and different weaknesses were detected. [7]. The attack on this algorithm was described by Mantin and Shamir [8] and Fluhrer [9]. The main contributions of this work are designing new efficient and fast algorithms to analyze the RC4 algorithm based on single byte bias and double byte bias. Also, designing an algorithm for a single byte bias attack that can retrieve all the first 32 bytes of the plain text of RC4 in a few seconds of time.

## 2 LITERATURE SURVEY

Several researchers in information security were analyzed RC4 algorithm based on its weakness and suggested different solutions but the ways of bias calculations were slow, not efficient, and used a huge number of data. This section shows the previous studies that is related to this work, Fluhrer S.R. & McGrew D.A. (2001) were the first researchers that determined a new method to distinguish 8-bit of RC4 from random bits and discovered a new type of bias was described as double byte bias in a consecutive pair of bytes. They were discovered long-term biases for RC4, ten conditions are stated the positive biases that mean their likelihood are higher than the meant value and two conditions were stated negative biases that mean their likelihood is lower than the intended value [9]. Al-Fardan N. J. *et al.* (2013) were measured the security of RC4 in TLS and WPA and analyzed RC4 based on its single and double byte biases and attacking it based on its bias by using plaintext recovery attack. Their results were show that there are biases in the first 256 bytes of the RC4 keystream that can be exploited by passive attacks to retrieve the plaintext by using  $2^{44}$  random keys [20]. Hammood M. M. and Yoshigoe K. (2016) were determined different biases in the RC4 keystream and analyzed the developed algorithms that determined in [6] by using C programming and message passing interface environment and experiments are executed by using a high execution system with 256 processor units [21]. This work implemented the proposed algorithms on a personal computer and got the same biases that were shown previously and in less time (seconds only).

## 3 RC4 CONCEPT

Many of stream cipher algorithms are based on the use of Linear Feedback Shift Registers (LFSRs) particularly in the hardware, but the design of RC4 algorithm evades the use of LFSR [10]. This algorithm consists of two main components to generate the key, the first is Key Scheduling Algorithm (KSA) and the second is Pseudo-Random Generation Algorithm (PRGA) that implemented sequentially [11]. KSA is more problematic, it was prepared to be simple. At the beginning, few bytes of the output of PRGA are biased or attached to some bytes of the secret key; therefore, analyzing these bytes makes them probable for attacking RC4 [7]. The internal permutation of RC4 is of N bytes, it is a key. The length of the private key is typical between 5 to 32 bytes and is recurrent to form the final key stream. In KSA, can produce initial permutation of RC4 by scramble the corresponding permutation using the key. This permutation (State) in KSA is used as an input to the second step (PRGA) that generates the final key stream [11]. RC4 starts with the permutation and use a secret key to produce a random permutation with KSA. Based on a secret key, the next stage is PRGA that generates keystream bytes which are XOR-ed with the original bytes to get the ciphertext [12]. The concept of RC4 is to make a permutation of the elements by swapping them to accomplish the higher randomness. RC4 algorithm has a variable length of key which between (0-255) bytes to initialize the 256 bytes in the initial state array (State [0] to State [255]) [13]. The algorithms below show KSA and PRGA steps of the RC4 algorithm:

The first is KSA which initializes the internal state.

### **Algorithm 1. KSA of RC4 Algorithm**

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**Input:** Key.

**Output:** State[i].

1. For (i = 0 to 255)  
State[i] = i
  2. Set j = 0
  3. For (i = 0 to 255)
    - 3.1. j = (j + State[i] + Key [i mod key-length]) mod 256
    - 3.2. Swap (State[i], State[j])
  4. Output: State[i].
- 

The 2<sup>nd</sup> is PRNG. It generates the output stream:

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**Algorithm 2. PRGA of RC4 Algorithm**

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**Input:** State[i], Plaintext n.

**Output:** Key sequence.

1. i = 0, j = 0.
  2. While generating output:
    - 2.1. i = (i + 1) mod 256
    - 2.2. j = (j + State[i]) mod 256
    - 2.3. Swap (State[i], State[j])
    - 2.4. K sequence = State [State[i] + State[j]] mod 256
  3. Output: Key sequence.
- Cipher text n = Key sequence n  $\oplus$  Plaintext n [14].
- 

#### 4 RC4 WEAKNESS

There are several weaknesses found in RC4 algorithm. Some of these are easy and can be resolved, but other weaknesses are dangers that can be quarried by the attackers. RC4 is failed in providing a high level of security because of the biases in the bytes of the keystream [15]. Roos [16] found RC4 weaknesses that a high attachment between the first state table values and generated values of the key stream. The essential cause is the state table that began in series (0, 1, 2, ..., 255) and at least one out of each 256 potential keys, the first generated byte of the key is highly attached with a few key bytes. So the keys allow precursor of the first bytes from the output of PRGA. To reduce this problem, it was proposed to ignore the first bytes of the output of PRGA [16]. Mantin and Shamir [8] were found the main weakness of RC4 in the second round. The likelihood of zero output bytes. Fluher [9] was found a large weakness, if anyone knows the private key portion then potential to attack fully over the RC4 [9]. Paul and Maitra [17] were found a private key by using the elementary state table and generated equations on the initial state bases and selected some of the secret key bytes on the basis of assumption and keep private key discovery by using them equation. So the safeness of RC4 is based on a private key security and the internal states. Various attacks are focus on getting the private key of the internal states [18]. The attack aims to retrieve the main key, the internal state, or the final key stream to access to the original messages [19].

#### 5 ANALYZING OF RC4 ALGORITHM BASED ON ITS SINGLE BIAS

The first researchers that denoted the bias in the key stream of RC4 were mantin and Shamir after various researchers studied different biases. In this work, RC4 and developed algorithms were implemented in C# programming and the new efficient experiment was designed based on the idea of [20] and [21] for proving single bias in the first 32 bytes of the keystream which is summarized as algorithm 3. The RC4 output has shown the same biases that

described in the previous researches. The experiment is executed with generated key stream ranging from  $2^{20}$  to  $2^{34}$  with an independent secret key size of random 16 bytes. The frequents calculated by the following equation:

$$\text{Frequents} = 2^{34} / \text{State-length.} \quad (1)$$

When the likelihood of the frequents of any value is higher than the average, this taken as positive bias and when the probability less than the average, this operates as negative bias.

$$\text{Av.} = \text{Frequents} / 2^{34}. \quad (2)$$

### **Algorithm 3. Measuring Distributions of Bytes of Keystream**

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**Input:** Key [ $k_1, k_2, \dots, k_{16}$ ].

**Output:** Key position (Kp), key value (Kv), and the number of frequencies in each position (Kf).

- |        |  |   |
|--------|--|---|
| 1.     | or ( $n = 1$ to $2^{34}$ ) Do  | F |
| 1.1.   | $x = 0, y = 0$   | x |
| 1.2.   | all Algorithm 1: KSA   | C |
| 1.3.   | all Algorithm 2: PRGA  | C |
| 1.4.   | educting new key with a length of 16 bytes from each generated key to be new secret key. | D |
| 2.     | or ( $col = 0$ to key Length)  | F |
| 2.1.   | or ( $row = 0$ to $2^{34}$ )   | F |
| 2.1.1. | et [ $row$ ] [ $col$ ] as string   | S |
| 2.2.   | or ( $x = 1$ to values. Count)   | F |
| 2.2.1. | f (values [ $x$ ] = value)   | I |
| 2.2.2. | crement count by 1   | I |
| 2.2.3. | ey position = col  | K |
| 2.2.4. | ey value = value   | K |
| 2.2.5. | umber of frequencies = ( $count / (2^{34} * 16)$ )                                       | N |
| 3.     | Output: Kp, Kv, and Kf for each position of key stream bytes.                            |   |
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Different biases in the short-term key stream of RC4 were identified previously. This work is successfully regenerated these keystream byte probabilities for the first 32 positions.

Figures 1, 2, 3, and 4 are represent the distribution of key stream bytes in the positions 1, 2, 16, and 32 respectively.

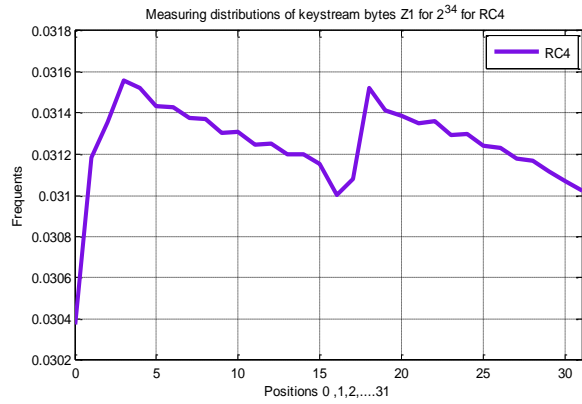


Fig. 1. Distribution of key stream bytes in the 1<sup>st</sup> position with  $2^{34}$  secret keys.

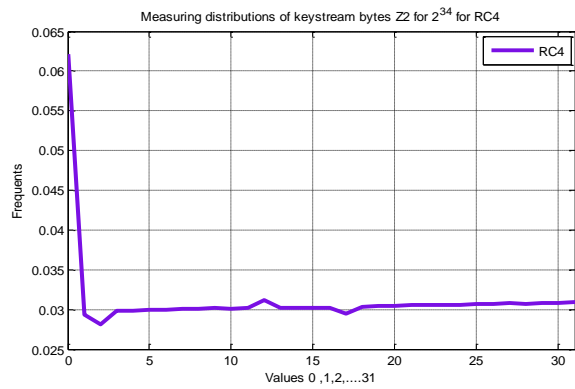


Fig. 2. Distribution of key stream bytes in the 2<sup>nd</sup> position with  $2^{34}$  secret keys.

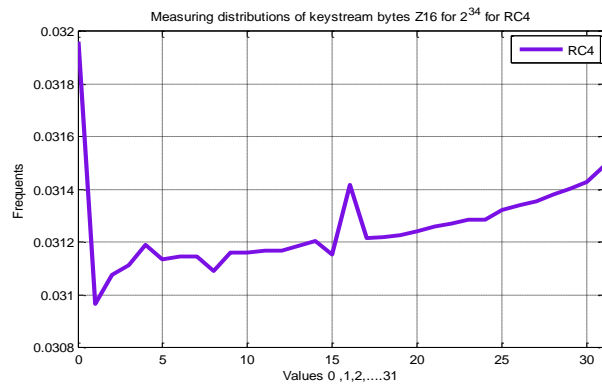


Fig. 3. Distribution of key stream bytes in the 16<sup>th</sup> position with  $2^{34}$  secret keys.

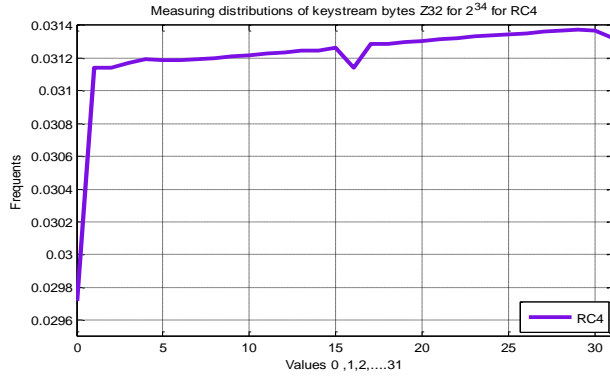


Fig. 4. Distribution of key stream bytes in the 32<sup>nd</sup> position with  $2^{34}$  secret keys.

Consider  $\Pr(K_i = n)$ , where  $i = 1, 2, \dots, N$  is represented the round number in PRGA phase of RC4, and  $n = 0, 1, 2, \dots, N-1$  represented the output keystream values as shown in figure 5. The spikes and apparent vertical walls through the figure are represented the short-term bias in the first 32 positions of RC4 keystream. Particularly, the downward spike and the vertical wall in the front right are represented the distributions of key stream bytes for  $K_1$ .

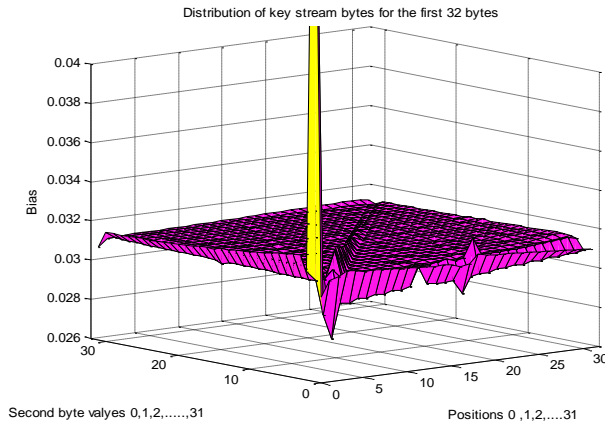


Fig. 5. Measuring Distributions of RC4 Keystream for the First 32 Bytes with  $2^{34}$ .

## 6 ANALYZING OF RC4 ALGORITHM BASED ON ITS DOUBLE BIAS

After explaining single-byte biases that are of great benefit to the cryptographic society, the attack simply can be avoided by ignoring the initial bytes. Thus, RC4 with additional configuration can still resistant from the single-byte bias attack. However, the researchers have studied and were investigated for biases beyond initial bytes and different multi-byte biases have been discovered in the key stream of RC4. Fluhrer and McGrew [9] were the first researchers that discovered the biases in a consecutive pair of bytes ( $K_i, K_{i+1}$ ) and detected long-term biases of RC4. They discover ten positive biases that mean their probability were higher than the desired value and detected two negative biases that mean their probability were lower the desired value. Hammood et al. [21] were estimated the probability of the cipher for generating each pair of byte values though each 256-byte cycles and got a complete view of the distributions of every pair of byte values at the positions  $(i, i + 1)$ . They replicated biases of Fluhrer and McGrew and endorse them work by AlFardan et al. They found two new positive biases not mentioned in [9] by Fluhrer and McGrew. This work is reproduced the Fluhrer and McGrew biases and Hammood bias with 1024 keys of 16 bytes to generate  $2^{32}$  keystream bytes after discarding the first 1024 bytes. Every key from these 1024 keys

produces  $2^{32}$ ; therefore, the whole amount of the generated keys is  $2^{42}$ . Algorithm 4 below is designed to determine the measuring of double byte bias in a few seconds. The main idea of this algorithm is to measure the appearance of the consecutive pair  $(Z_i, Z_{i+1})$  in each position of the output of RC4.

**Algorithm 4. Measuring Distributions of Key Stream Bytes ( $K_i, K_{i+1}$ )**

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**Input:**  $K [k_1, k_2, \dots, k_{16}]$ .

**Output:** Frequents of  $(K_i, K_{i+1})$ .

1. i  
 $= j = i1 = k = 0$
  2. F  
 or  $(x = 1 \text{ to } 2^{10})$
  - 2.1. C  
 all Algorithm 1: KSA
  - 2.2. F  
 or  $(x = 1 \text{ to } 2^{32})$
  - 2.2.1. i  
 $= (i + 1) \text{ mod } 32$
  - 2.2.2. S  
 $j = (j + \text{State}[i]) \text{ mod } 32$
  - 2.2.3. G  
 wap  $(\text{State}[i], \text{State}[j])$
  - 2.2.4. A  
 enerated Key =  $\text{State}[(\text{State}[i] + \text{State}[j]) \text{ mod } 32]$
  - 2.2.5. D  
 $[k][\text{Generated Key}][i1] = A[k][\text{Generated Key}][i1] + 1$
  - 2.2.6. k  
 educting new key with 16 bytes to be new secret key.
  - 2.2.7. i  
 $= \text{Generated Key}$
  - 2.2.8. i  
 $1 = (i1 + 1) \text{ mod } 32$
  3. **Output:**  $A[k][\text{Generated Key}][i1]$ .
- 

Figures 6, 7, and 8 show the results for running above algorithm that measures the distributions of keystream bytes  $(Z_i, Z_{i+1})$  to discover possible double-byte biases for RC4 in the first 32 bytes.

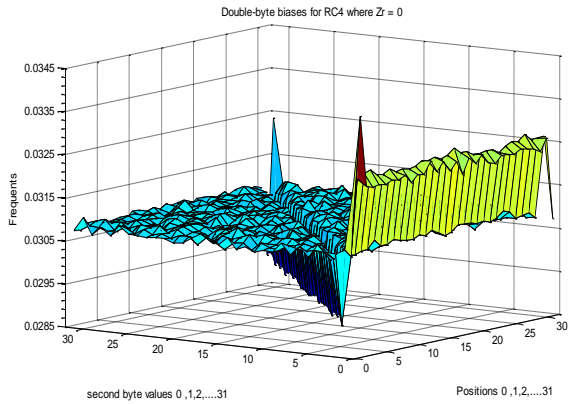


Fig. 6. Double-Byte Biases ( $Z_r, Z_{r+1}$ ) for RC4 where  $Z_r=0$ .

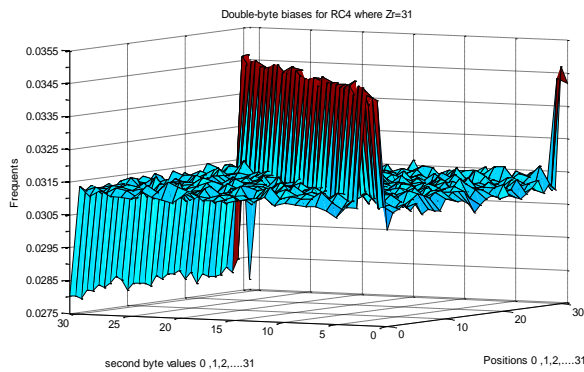


Fig. 7. Double-Byte Biases ( $Z_r, Z_{r+1}$ ) for RC4 where  $Z_r=31$ .

The figure below shows the distribution of ( $Z_r, Z_{r+1}$ ) for all the first 32 bytes, where  $Z_r = i$  and  $Z_{r+1} = i$  for RC4.

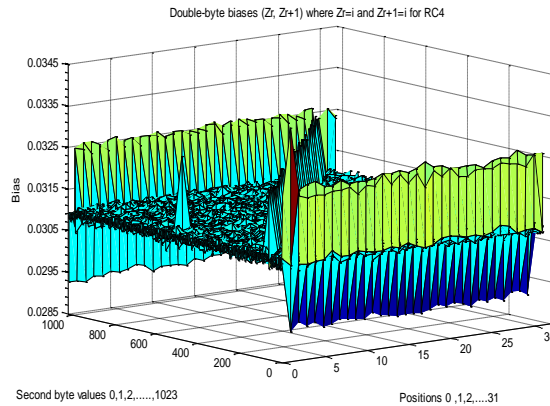


Fig. 8. Double-Byte Biases ( $Z_r, Z_{r+1}$ ) for RC4 where  $Z_r=i$  and  $Z_{r+1}=i$ .

## 7 INTRODUCED SINGLE BYTE BIAS ATTACK ON RC4

Isobe *et al.* [22] were suggested efficient plaintext recovery attacks on RC4 algorithm that can retrieve all bytes of the plain text from the ciphertexts in the broadcast setting when the same plaintext is encrypted with different keys. AlFardan *et al.* [20] and Hammood *et al.* [21] at the same time, were used the same concept and determined the plaintext recovery attacks and



applied it on single-byte bias attack on TLS. Their attack was successfully recovered the first 256 bytes of keystream with likelihood roughly 1 from  $2^{24}$  ciphertexts encrypted with different random keys. This work determines a newly designed fast algorithm for calculating single byte bias attack on RC4 and retrieve the first 32 bytes of any plain text used, illustrated in the algorithm 5. The idea of this algorithm is based on the work of [20] and [21]. The concept of this algorithm aims to quarry the biases in the first 32 bytes of the RC4 keystream by finding the keystream value with the highest bias ( $K_i$ ) in each position (i). The encryption of the same plaintext ( $P_i$ ) with various random and independent keys generates many ciphertexts ( $C_i$ ) that used to detect the most duplicated byte in each position to use it as the bias that shifted as the value of the plain text. The most duplicate appearing bytes of the keystream is XOR-ed with the most duplicate appearing bytes of ciphertext to retrieve the plain text.

**Algorithm 5. Single Byte Bias Attack**

**Input:** Key [ $k_1, k_2, \dots, k_{16}$ ], Plaintext  $i$ .

**Output:** Plaintext\*, Frequency of Plaintext\*.

1. For ( $X = 1$  to  $N$ ), where  $N = 2^{18}, 2^{21},$  or  $2^{24}$ .
  - 1.1. all algorithm 3: Measuring distributions of RC4 Keystream  $i$ . bytes C
  - 1.2. calculate Max-Frequent [Key sequence  $i$ ] of each position. C
  - 1.3. ciphertext  $i$  = Encryption of Plaintext  $i$  with Key sequence  $i$  C
  - 1.4. all algorithm 3: Measuring distributions of RC4 Ciphertext  $i$ . bytes C
  - 1.5. calculate Max-Frequent [Cipher text  $i$ ] of each position. C
2. Plaintext\*[X]= Encryption of Max-Frequent [Key-sequence  $i$ ] with Max-Frequent [Cipher text  $i$ ] P
3. f Plaintext\*[X] = Plaintext[X] I
  - 3.1. Counter = Counter+1 C
4. Frequency of Plaintext \* = (Counter \* 100 /N) F
5. Output: Plaintext \*, Frequency of Plaintext \*.

The execution time of single byte bias attack is determined below in figure 9:

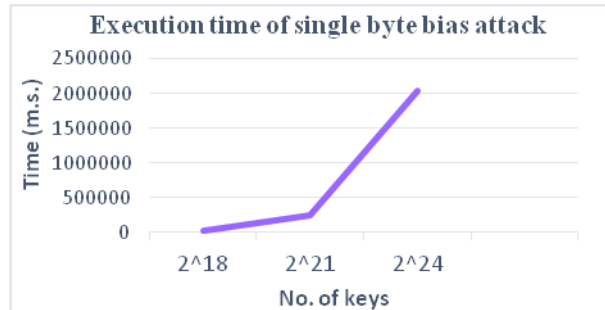


Fig. 9. Execution Time of Single Bias Attack.

Figures 10, 11, and 12 shows the probability of retrieving the plain text bytes:

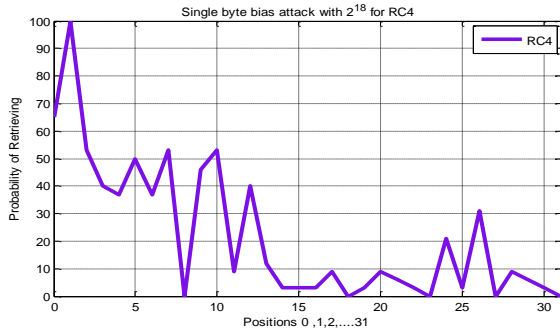


Fig. 10. The recovery rate for the first 32 position with  $2^{18}$ .

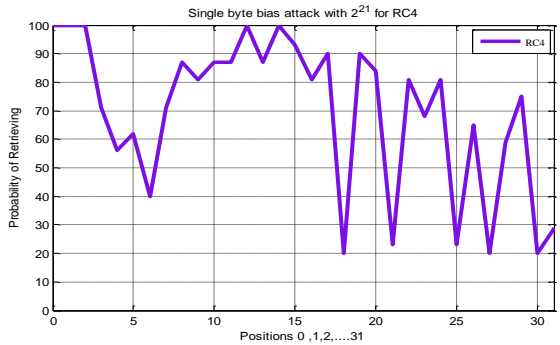


Fig. 11. The recovery rate for the first 32 position with  $2^{21}$ .

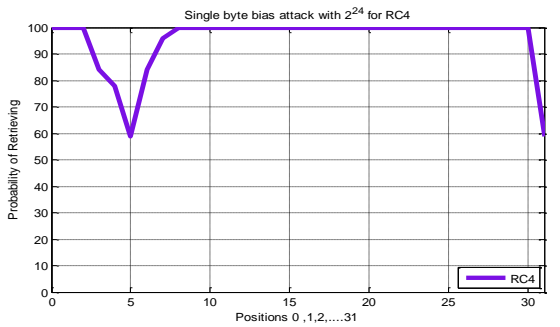


Fig. 12. The recovery rate for the first 32 position with  $2^{24}$ .

## 8 CONCLUSIONS

RC4 is significant encryption algorithm that can be used for information protection on many communication networks as it simple and fast in implementation but it has weaknesses in its key stream bytes that these bytes are biased to some different values of the private key. RC4 biases are now quarried for making practical attacks on TLS. In this work, the analysis of RC4 algorithm is done for the first 32 positions by using new designed fast algorithms and shown the same bias that was shown in the literature. Also, a new single byte bias attack algorithm is designed for attacking RC4 based on its single byte bias and retrieving all the first 32 bytes of RC4 plain text with the likelihood of 100%. As a future work, the proposed algorithms may be applied on 256 bytes by using parallel processors.

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